

**Theorem 1.** *Finding both the minimum and maximum elements in an unsorted array requires at least  $\lceil \frac{3n}{2} \rceil - 2$  comparisons in the worst case.*

*Proof.* Here only the situation when  $n$  is even will be discussed. When  $n$  is odd, the analysis is of little difference.

Let  $A$  be the set of all  $n$  elements in an array. We define three auxiliary sets which are initially empty, nominally *Big*, *Small* and *Discarded*.

Given any algorithm  $Alg$ , let  $c_i$  denote  $Alg$ 's  $i$ -th comparison action. Note that  $Alg$  will perform a sequence of comparisons  $c_1, \dots, c_m$  in order to find out both the maximum and minimum elements. Our task is to show that  $m \geq \frac{3}{2}n - 2$ .

Consider each comparison  $c_i$ , which shows the relationship  $a_x \geq a_y$  for some elements  $a_x, a_y$ . Define operations on the four sets  $A, Big, Small$  and *Discarded* as following:

- If  $a_x \in A$ , move  $a_x$  to *Big*.
- If  $a_y \in A$ , move  $a_y$  to *Small*.
- If  $a_x \in Small$ , move  $a_x$  to *Discarded*.
- If  $a_y \in Big$ , move  $a_y$  to *Discarded*.
- Otherwise, keep  $a_x$  (or  $a_y$ ) unmoved.

We claim but will not prove the following properties:

- Elements in *Big* cannot be minimum. Elements in *Small* cannot be maximum. And elements in *Discarded* can be neither minimum nor maximum.
- Any two elements in the same set  $X$ , where  $X \in \{A, Big, Small\}$ , are not compared yet.
- To find out both the minimum and maximum. Finally  $A$  must be empty. *Big* and *Small* must have only one element each.

To do worst case analysis, suppose there is an evil adversary  $Adv$ .  $Adv$  controls the input data and it always tries to give the ‘worst’ (i.e. of least information) comparison result while ensuring consistency. Given two elements  $a_x$  and  $a_y$ , we define  $Adv$ 's behavior as following :

1. If  $a_x, a_y \in X$  where  $X \in \{A, Big, Small\}$ , report  $a_x \geq a_y$ .
2. If  $a_x \in Big$  and  $a_y \in A$ , report  $a_x \geq a_y$  (vise versa).
3. If  $a_x \in A$  and  $a_y \in Small$ , report  $a_x \geq a_y$  (vise versa).
4. If  $a_x \in Big$  and  $a_y \in Small$ , report  $a_x \geq a_y$  (vise versa).
5. Otherwise, it must be  $a_x, a_y \in Discarded$ . Depending on whether  $a_x, a_y$  were compared, report a consistent result.

Not difficult to see that  $Adv$ 's reports are consistent.

Note that for each comparison either (i) at most two elements are moved from  $A$  to *Big/Small* or (ii) at most one element is moved from *Big/Small* to *Discarded*. Thus to satisfy the final requirement that  $A$  is empty and *Big* and *Small* have one element each, it takes at least  $n/2$  steps to move out all elements from  $A$  according to (i), and it takes at least  $n - 2$  steps to move out extra elements from *Big/Small* according to (ii). Then the total number of comparisons needed is at least  $n/2 + n - 2 = \frac{3}{2}n - 2$ .  $\square$